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# Inhibition of HIV-1 replication by anti-trans-activation responsive polyamide nucleotide analog

Neerja Kaushik, Amartya Basu, Virendra N. Pandey\*

Department of Biochemistry and Molecular Biology, Center for the Study of Emerging and Re-Emerging Pathogens, UMDNJ-New Jersey
Medical School, 185 South Orange Avenue, Newark, NJ 07103, USA

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#### Abstract

Efficient replication and gene expression of human immunodeficiency virus-1 (HIV-1) involves specific interaction of the viral protein Tat, with its trans-activation responsive element (TAR) which forms a highly stable stem-loop structure. We have earlier shown that a 15-mer polyamide nucleotide analog (PNA) targeted to the loop and bulge region of TAR blocks Tat-mediated transactivation of the HIV-1 LTR both in vitro and in cell culture (Mayhood et al., Biochemistry 39 (2000) 11532). In this communication, we have designed four anti-TAR PNAs of different length such that they either complement the entire loop and bulge region (PNA<sub>TAR-16</sub> and PNA<sub>TAR-15</sub>) or are short of few sequences in the loop (PNA<sub>TAR-13</sub>) or in both the loop and bulge (PNA<sub>TAR-12</sub>), and examined their functional efficacy in vitro as well as in HIV-1 infected cell cultures. All four anti-TAR PNAs showed strong affinity for TAR RNA, while their ability to block in vitro reverse transcription was influenced by their length. In marked contrast to PNA<sub>TAR-12</sub> and PNA<sub>TAR-13</sub>, the two longer PNA<sub>TARs</sub> were able to efficiently sequester the targeted site on TAR RNA, thereby substantially inhibiting Tat-mediated transactivation of the HIV-1 LTR. Further, a substantial inhibition of virus production was noted with all the four anti-TAR PNA, with PNA<sub>TAR-16</sub> exhibiting a dramatic reduction of HIV-1 production by nearly 99%. These results point to PNA<sub>TAR-16</sub> as a potential anti-HIV agent. © 2002 Elsevier Science B.V. All rights reserved.

Keywords: Tat-mediated transactivation; Polyamide nucleotide analog; Trans-activation response region; Antiviral; Reverse transcription; HIV-1 replication

#### 1. Introduction

Acquired immune deficiency syndrome (AIDS) has reached worldwide epidemic proportions in spite of enormous efforts for the prevention of

E-mail address: pandey@umdnj.edu (V.N. Pandey).

AIDS. The current anti-AIDS drugs mainly target two key enzymes in the HIV-1 life cycle, reverse transcriptase and protease. The major impediment in successful HIV-1 therapy is the rapid emergence of drug resistant strains harboring mutations in genes encoding these viral enzymes (Larder, 1995). This limitation has necessitated attempts worldwide to explore additional targets and strategies for blocking HIV-1 replication.

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<sup>\*</sup> Corresponding author. Tel.: +1-973-972-0660; fax: +1-973-972-5594

HIV-1 gene expression is regulated through a complex interplay of specific cis-acting DNA elements within its long terminal repeat (LTR) with host cell proteins/factors as well as with its own accessory proteins (Bohan et al., 1992; Farese-DiGiorgio et al., 1999). One of these cis-acting elements called trans-activation response region (TAR) in the 5'-LTR of viral genome is essential for transcriptional activation by the transactivator protein Tat (Isel and Karn, 1999; Jeang et al., 1999; Veschambre et al., 1995). A three nucleotide U-rich bulge located between + 23 and +25 has been identified as the site of Tat binding (Long and Crothers, 1999).

Intensive research over the last decade on the transactivation mechanism involving Tat-TAR interaction has yielded significant biological and virological insights (Katz and Skalka, 1994). It is now clear that the primary role of Tat is in regulating productive and processive transcription from the HIV-1 LTR (Cullen, 1993; Jeang et al., 1999; Veschambre et al., 1995). Natural or induced mutations that destabilize TAR by disrupting base pairing in the stem region abolish Tat-stimulated transcription resulting in premature transcription termination at random locations downstream of the viral RNA start site (Jeang et al., 1999; Selby et al., 1989). Given the functional importance of Tat-TAR interaction, both the Tat and TAR element represent attractive targets for drug design. A number of reports have suggested various chemicals, genetic inhibitors, Tat peptide analogs, TAR RNA decoys, TAR circle, TAR ribozyme, extra cellular anti-Tat monoclonal antibody and singlechain anti-Tat antibodies, among others, to sequester Tat's function, thereby reducing transcription and viral load. These agents affect the interaction between Tat and TAR, thereby preventing transcriptional activation of HIV-1 genome either by steric hindrance, sheer displacement mechanism or by deprivation of the functional molecules. Recently, it has also been shown that shielding the bulge-loop region of TAR with PNA and other oligo analogues in an anti-sense fashion inhibits HIV-1 reverse transcription (Boulme et al., 1998).

In a recent report, it has been shown that a 12-mer PNA and its analogues inhibit Tat-dependent

transcription in HeLa cell nuclear extract (Arzumanov et al., 2001). These results are in agreement with our own earlier studies, where we demonstrated that a 15-mer polyamide nucleotide analog (PNA), targeted to the stem-loop region of HIV-1 TAR, effectively competes with Tat for TAR and prevents Tat-mediated transactivation in cell culture (Mayhood et al., 2000). We have now extended these studies, in order to identify the appropriate length of anti-TAR PNA for efficient blocking of Tat-mediated transactivation, using four anti-TAR PNAs of varying length ranging from 12- to 16-mer (PNA<sub>TAR-12</sub>, PNA<sub>TAR-13</sub>, PNA<sub>TAR-15</sub> and PNA<sub>TAR-16</sub>). Using the luciferase reporter gene constructs, we now demonstrate that a 16-mer PNA complementing both the loop and bulge regions of TAR efficiently inhibits Tatmediated transactivation of HIV-1 LTR. Further, transfection of this 16-mer PNA in HIV-1-infected CEM cells effectively blocks HIV-1 production, thus suggesting that anti-TAR PNA may be a potentially attractive candidate for antiviral therapy.

#### 2. Materials and methods

#### 2.1. PNA oligomers

The PNA oligomers targeted to TAR regions of HIV-1 genome as well as scrambled PNA were synthesized at Applied Biosystems Inc. (Fig. 1).

#### 2.2. Plasmid constructs

The plasmid pEM-7 encoding the HIV-1 TAR under the control of the T7 promoter was used for transcribing the wild type TAR RNA (Gunnery et al., 1992) for gel shift analysis and primer extension studies. The plasmids, pHIV-1 LTR-Luc, pCMV-Tat (pcDNA3-Tat), pCMV-R.Luc and pcDNA3.1 were used in the transfection experiments to investigate the effect of PNA<sub>TAR</sub> on HIV-1 LTR. The plasmid pHIV-1 LTR-Luc (a kind gift from Dr. M. B. Mathews) contains the firefly luciferase gene cloned downstream of the HIV-1 LTR. The plasmid pCMV-Tat, encodes for the Tat protein under the control of the CMV promoter

(Fujinaga et al., 1999). The plasmid pCMV-R.Luc (Promega Corp.) encodes for the Renilla Luciferase downstream of the CMV promoter and pcDNA3.1 (Invitrogen Corp.) encodes for the CMV promoter.

### 2.3. Transcription of HIV-1 TAR RNA template

HIV-1 TAR RNA template was transcribed after initially linearizing the plasmid pEM-7 with HindIII as described previously (Mayhood et al., 2000). For preparing the unlabeled transcript, in vitro transcription reaction was carried out using T7 RNA polymerase in accordance with the Manufacturer's protocol (Roche Molecular Biochemicals). The internally labeled transcript was similarly prepared except that the rNTP mixture contained 1 mM each of ATP, GTP, CTP and  $20\mu M \, \alpha^{-32} P$  UTP (specific activity: 1  $\mu$ Ci/10 pmol; Perkin–Elmer Life Sciences Inc.). Following the

transcription reaction, 25 U of DNase I (RNase free) was added and further incubated for 30 min to digest the DNA. The labeled transcript was purified by 10% polyacrylamide-urea gel electrophoresis. The radioactive band was excised from the gel, extracted in 0.5 M ammonium acetate, desalted on a NAP-10 column (Pharmacia Inc), lyophilized, and dissolved in 10 mM Tris-HCl, pH 7.8, 60 mM KCl and 10 mM DTT and stored at — 70 °C. The specific radioactivity of the resulting purified transcript was determined by A260 absorbance and Cerenkov counting.

#### 2.4. Gel retardation assay

The affinity and specificity of the various anti-TAR PNAs for the TAR RNA was evaluated by gel mobility shift analysis. Varying concentrations of anti-TAR PNAs or scrambled PNA were incubated with 6.4 nM <sup>32</sup>P-labeled TAR RNA

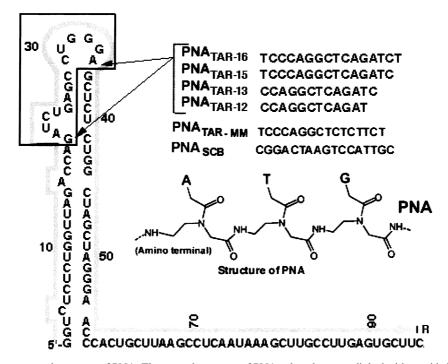


Fig. 1. General structure and sequence of PNA. The general structure of PNA, where bases are linked with peptide bonds, are shown (Nielsen, 1999). The sequence of the individual anti-TAR PNA (PNA<sub>TAR</sub>) of varying lengths, the mismatched anti-TAR PNA (PNA<sub>TAR-MM</sub>) as well as the 17-mer scrambled PNA (PNA<sub>SCB</sub>) used in the experiments, are listed. Secondary structure of the HIV-1 TAR RNA genome corresponding to the RNA stem-loop and bulge, where the complementary anti-TAR PNA binds, as shown in the box.

transcript (5000 Cerenkov cpm) for 1 h at 37 °C in a binding buffer containing 50 mM Tris-HCl, pH 7.8, 60 mM KCl, 5.0 mM MgCl<sub>2</sub>, 10 mM DTT, 10% glycerol, 0.01% NP-40 and 500 ng of r(I-C). Three microliters of RNA gel loading dye (0.27% bromophenol blue and 30% glycerol) were added to the samples and subjected to electrophoresis on a native 6% polyacrylamide gel in Tris-Borate buffer. The gels were pre-run at 120 V for 30 min at 4 °C in Tris-Borate buffer, pH 8.2. The RNA-PNA complexes were resolved at a constant voltage of 120 V at 4 °C for 3 h and subjected to phosphorImager analysis (Molecular Dynamics).

# 2.5. Reverse transcription of TAR RNA primed with 17-mer DNA primer

Reverse transcription catalyzed by HIV-1 RT on TAR RNA in the presence or absence of the individual anti-TAR PNA or scrambled PNA was monitored by gel extension analysis. To this end, the 17-mer DNA primer was 5'-labeled using α-<sup>32</sup>P-ATP and T<sub>4</sub> polynucleotide kinase according to the standard protocol and annealed in a 2:1 molar ratio of RNA template to primer. The individual anti-TAR PNAs at the indicated concentrations were pre-incubated with 10 nM of the annealed template-primer either at 37 °C or at 25 °C for the indicated times in a reaction buffer containing 50 mM Tris-HCl, pH 7.8, 10 mM DTT, 100 µg/ml BSA, 60 mM KCl and 5 mM MgCl<sub>2</sub> and used in the extension reaction. Reverse transcription was initiated by the addition of 50 nM of HIV-1 RT and 100 µM each of the 4 dNTP mix. The reactions were performed at 25 °C and terminated by the addition of equal volume of Sanger's gel loading solution (Sanger et al., 1977). The products were resolved on an 8% polyacrylamide-urea gel and visualized on a phosphorImager.

### 2.6. Tissue culture and transfection

Lymphocyte CEM (12D7) cells were maintained in complete RPMI-1640 medium supplemented with 10% fetal bovine serum (FBS), 100 U/ml of penicillin and 100 µg/ml of streptomycin at 37 °C

in 5% CO<sub>2</sub> containing humidified air. For transfections, the cells were grown to mid-log phase, washed with phosphate-buffered saline (PBS) without Ca<sup>+2</sup> or Mg<sup>+2</sup>, resuspended in unsupplemented RPMI-1640 medium  $(5.0 \times 10^6 \text{ cells in } 250)$ ul) and electroporated at 250 V and 900 microfarad capacitance with optimum amounts of the plasmids pHIV-1 LTR-Luc and pCMV-Tat, using a Bio-Rad Gene pulsar II. In order to monitor the efficiency of transfection, the cells were co-transfected with the reporter plasmid, pCMV-R.Luc. The effect of anti-TAR PNA on Tat-mediated transactivation of the HIV-1 LTR was monitored by co-transfecting the individual anti-TAR PNAs at the indicated concentrations. In order to determine the specificity of Tat-TAR interaction, a 17-mer control PNA containing scrambled sequence was co-transfected in an independent experiment. The transfected cells were plated in 10 ml of serum free RPMI-1640 media, allowed to recover from the effects of electroporation at 37 °C for 2 h and then grown in 10 ml of complete RPMI-1640 medium. Eighteen hours post-transfection, the cells were harvested and analyzed for luciferase activity. To monitor the effect of anti-TAR PNA on cell viability, an aliquot of the transfected cell culture was withdrawn prior to harvesting and examined using the calcein AM component from the Live-Dead viability kit (Molecular probes) as per the manufacturer's protocol.

#### 2.7. Production of pseudotyped HIV

Pseudotyped HIV-1 virions were produced in 293T cells by co-transfection of pHIV-<sub>IJR-CSF-luc</sub>env(—) (Planelles et al. 1995) with the pVSV-G retroviral vector, encoding the vesicular stomatitis virus protein G under the control of the CMV immediate-early promoter (BD Biosciences Clontech.) using the calcium phosphate transfection system (Life Technologies). Virus stocks were harvested at 24, 48 and 72 h post-transfection, an aliquot was removed for p24 antigen quantitation using the ELISA p24 antigen kit (Abbott Laboratories) and the remaining stock was frozen at -80 °C.

#### 2.8. Infections

The effect of anti-TAR PNA on HIV-1 production was monitored in CEM cells infected with the pseudotyped HIV-1 virions expressing the firefly luciferase reporter. Briefly, pseudotyped HIV-1 virions in the presence of 10 µg of polybrene/ml were added to  $5 \times 10^6$  CEM cells in a final volume of 1.0 ml to achieve multiplicities of infection (MOI) of 10. The cell cultures were incubated at 37 °C for 1 h, cells were gently spun out, washed with PBS and resuspended in 1.0 ml of complete RPMI medium. The infected cells were further incubated for 1 h in a 37 °C incubator and then transfected with varying amounts of the individual anti-TAR PNA, scrambled PNA or the mismatched TAR PNA as described above. A mock transfection of infected cells was similarly carried out. The cells were grown in 10 ml of complete RPMI medium. Forty-eight hours post-transfection, the cells were harvested and expression of the pseudovirus was analyzed by estimating the firefly luciferase activity.

### 2.9. Luciferase assays

Luciferase assays were performed by using the Promega Dual Luciferase assay kit. Briefly, the transfected cells were harvested, washed once with PBS without Ca<sup>+2</sup> or Mg<sup>+2</sup> and resuspended in 50 µl of the reporter lysis buffer (Promega). Cell lysis was carried out by incubating the samples at room temperature for 15 min on a rocking shaker. The lysate was centrifuged at 15,000 rpm for 10 min and the cell extracts were assayed for firefly and Renilla luciferase activity in a 96-well fluorotrac plate using a Packard Top Count Luminescence Counter. The results of at least three separate transfections were analyzed for each experiment.

#### 3. Results

### 3.1. Binding specificity of PNA<sub>TAR</sub> to TAR RNA

Labeled TAR RNA corresponding to nucleotides +1 to +82 of the HIV-1 LTR was tran-

scribed in vitro using T7 RNA polymerase and used for determining the binding specificity of the individual PNA<sub>TAR</sub>. The sequence of the HIV-1 TAR, PNA<sub>TAR</sub>, mismatched PNA<sub>TAR</sub> and scrambled PNA are shown in Fig. 1. Four anti-TAR PNAs of different length that either complement the entire loop and bulge region of TAR (PNA<sub>TAR-16</sub> and PNA<sub>TAR-15</sub>) or are short of a few sequences either in the loop (PNA<sub>TAR-13</sub>) or in both the loop and bulge (PNA<sub>TAR-12</sub>) were used in this study. In order to determine the relative ability of the individual anti-TAR PNAs to bind with TAR RNA, we performed gel mobility shift assays (Fig. 2). As seen in Fig. 2, a distinct shift in the mobility of TAR RNA was observed due to the formation of specific [PNA<sub>TAR</sub> -TAR RNA] complex (panels A, B, C and D; lanes 2-8). This mobility shift was concentration-dependent, as is evident from an incomplete shift seen at lower concentrations of PNA<sub>TAR</sub> to TAR RNA (lanes 2 and 3) and a complete shift seen at higher concentrations (lanes 4–8). Although all the anti-TAR PNAs displayed strong affinity for TAR, the extent of gel retardation at lower concentrations varied with the length of the individual PNA; higher binding was noted with PNA<sub>TAR-15</sub> and PNA<sub>TAR-16</sub>, as compared to PNA<sub>TAR-12</sub> and PNA<sub>TAR-13</sub> (lanes 2 and 3). The slower moving complex was not seen with scrambled PNA (panel E), thus suggesting the specificity of this interaction.

# 3.2. Inhibition of reverse transcription of TAR RNA in the presence of anti-TAR PNA

Since PNA-RNA or PNA-DNA duplexes exhibit higher Tm values than the corresponding RNA-DNA or DNA-DNA duplexes (Lee et al., 1998), it was interesting to examine if the individual anti-TAR PNA was able to block reverse transcription of HIV-1 TAR. Ability to block reverse transcription would have multiple effects on viral replication besides influencing Tatmediated transactivation. For this purpose, TAR RNA primed with the labeled 17-mer DNA primer was incubated in the absence or presence of the individual anti-TAR PNA or scrambled PNA at 37 °C followed by initiation of reverse transcrip-

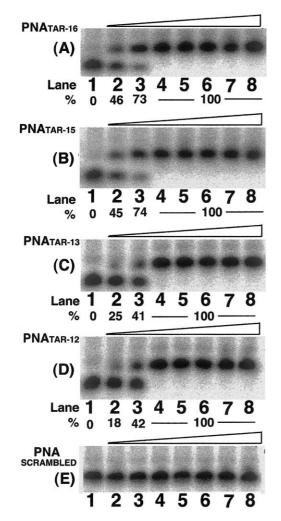


Fig. 2. Specificity of interaction of PNA<sub>TAR</sub> to its target sequence on the HIV-1 genomic RNA. The binding affinity of the individual anti-TAR PNA and scrambled PNA to the TAR RNA was assessed by gel mobility shift analysis. Anti-TAR PNAs or scrambled PNA at indicated concentrations, were incubated with 6.4 nM of the labeled TAR RNA transcript for 1 h at 37 °C in binding buffer and subjected to native polyacrylamide gel electrophoresis. The RNA-PNA complexes were resolved at a constant voltage of 120 V at 4 °C and visualized by phosphorImaging. The extent of gel shift was determined by quantifying the probe RNA band on the phosphorImager using Image-Quant software (Molecular Dynamics). Panels A, B, C, D and E represent gel-shift of labeled TAR RNA in the presence of PNATAR-16, PNATAR-15. PNA<sub>TAR-13</sub>, PNA<sub>TAR-12</sub> and PNA<sub>SCB</sub>, respectively. Lanes 1-8 indicate gel-shift carried out at the following concentrations of the individual anti-TAR PNA or scrambled PNA: 0, 2.5, 5.1, 9, 16, 32, 48 and 64 nM, respectively. The percent of labeled TAR RNA retarded due to PNA binding is as indicated.

tion by HIV-1 RT. The results are presented in Fig. 3. PNA<sub>TAR-16</sub> and PNA<sub>TAR-15</sub> caused a prominent pause in reverse transcription at the 42-mer position prior to the loop site targeted by these two PNAs. Likewise, the other two anti-TAR PNAs, PNA<sub>TAR-13</sub> and PNA<sub>TAR-12</sub> also exhibited a prominent pause at nucleotide position 44, prior to the targeted site. In addition, another minor pause at nucleotide 43 was also seen, but appeared to be a natural pause on this template as noted from the control set carried out in the absence of PNA. These results suggest that the individual anti-TAR PNA bind to their target site on TAR and block reverse transcription, probably by inhibiting the strand displacement activity of HIV-1 RT. Interestingly, while complete blockage at nucleotide position 42 was seen in case of PNA<sub>TAR-16</sub> and PNA<sub>TAR-15</sub>, further extension of some of the accumulated products beyond position 44 was observed with PNA<sub>TAR-13</sub> and PNAprolonged reaction. Reverse TAR-12 upon transcription of HIV-1 TAR in the presence of scrambled PNA was similar to the control, indicating the specificity of the interaction of the individual anti-TAR PNA with its target sequence.

It is possible that incubation of PNA<sub>TAR</sub> and RNA template at 37 °C may have facilitated their interaction by destabilizing the secondary structure of TAR. In order to evaluate whether PNA<sub>TAR</sub> is able to invade the stem-loop of TAR and block reverse transcription at ambient temperature, we incubated varying concentration of the individual PNA<sub>TAR</sub> with the pre-primed HIV-1 TAR at 25 °C. The pattern of reverse transcription products seen in Fig. 4 indicates a distinct difference in the ability of the various PNA<sub>TAR</sub> to block reverse transcription of TAR. PNA<sub>TAR-16</sub>, PNA<sub>TAR-15</sub> and PNA<sub>TAR-13</sub> were able to invade and block reverse transcription in a concentration dependent manner at ambient temperature and the pattern was similar to that observed at 37 °C. The highest inhibition was observed with PNA<sub>TAR-16</sub>. In contrast, the ability of PNA<sub>TAR-12</sub> to block reverse transcription was greatly diminished at ambient temperature. The higher efficiency of PNA<sub>TAR-16</sub> in sequestering TAR and blocking reverse transcription suggests that targeting the entire stem-loop and bulge region-spanning nucleotides 19-34 in the HIV-1 LTR is essential for maximal efficiency.

# 3.3. Anti-TAR PNA blocks tat-mediated transactivation of the HIV-1 LTR in cell culture

Results of the above in vitro experiment demonstrated that the four different anti-TAR PNAs of varying length and sequence differed in their ability to block reverse transcription on HIV-1 TAR. Since HIV-1 Tat enhances transcription elongation via interacting with TAR, it was of interest to probe which of the four anti-TAR PNAs could block the function of Tat in cell culture. To this end, we used a reporter plasmid construct expressing the firefly luciferase under the control of the HIV-1 LTR. CEM cells were transfected, with the reporter plasmids, in the

absence or presence of the pCMV-Tat along with varying amounts of the individual anti-TAR PNA or nonspecific scrambled PNA. The expression of luciferase was then estimated by the Dual Luciferase Assay kit. Expression of Renilla luciferase driven by the CMV promoter did not significantly change in the absence or presence of the Tat expression clone or in the presence or absence of anti-TAR PNA, and was therefore used as a control to normalize for transfection efficiency (data not shown). On the other hand, expression of the firefly luciferase driven by the HIV-1 LTR directly correlated with the concentrations as well as the lengths of the individual PNA<sub>TAR</sub>, thus pointing to the promoter specificity of anti-TAR PNA. These results are presented in Fig. 5, as the percent inhibition of Tat-mediated transactivation of the HIV-1 LTR at the indicated concentrations

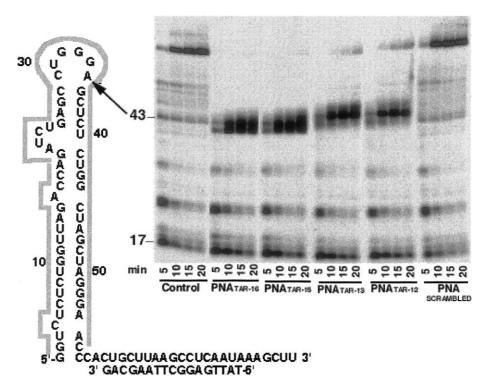


Fig. 3. Effect of anti-TAR PNA on reverse transcription of HIV-1 TAR RNA. The individual anti-TAR PNA or scrambled PNA (1  $\mu$ M) were pre-incubated at 37 °C with the TAR RNA template primed with the 5′-<sup>32</sup>P labeled 17-mer DNA primer. Reverse transcription reactions were initiated by the addition of enzyme and dNTP mix and aliquots were withdrawn at 5, 10, 15 and 20 min of incubation at 25 °C and quenched with the Sanger's stop dye. Control set represents the reactions carried out in the absence of anti-TAR PNAs or scrambled PNA. The position of the 17-mer primer is indicated. The position marked as 43 corresponds to the beginning of the loop region on TAR RNA targeted by the anti-TAR PNA.

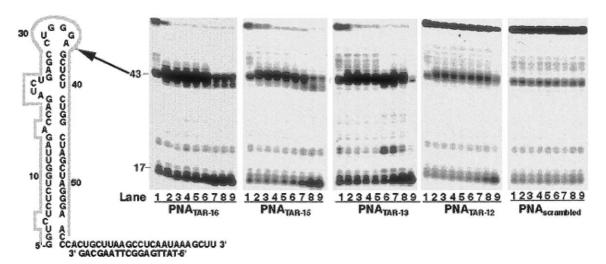


Fig. 4. Inhibition of reverse transcription of TAR RNA as a function of individual anti-TAR PNA concentration. The TAR RNA template primed with the 5′-<sup>32</sup>P labeled 17-mer DNA primer was incubated in the absence or presence of increasing concentrations of PNA<sub>TAR</sub> or scrambled PNA at 25 °C for 2 h. Reverse transcription was initiated by the addition of the four dNTP mix and HIV-1 RT as described in Section 2. The reaction products were analyzed on a denaturing 8% polyacrylamide-urea gel and subjected to phosphorImager analysis. Lane 1 in each set represents the control reaction carried out in the absence of PNA. Lanes 2–9 represent extension of the 17-mer primer in the presence of the indicated PNA at 0.1, 0.2, 0.5, 1.0, 2.5, 5.0, 7.5 and 10.0 μM concentration, respectively. The position of the 17-mer primer is indicated on the left. The position marked as 43 corresponds to the beginning of the loop region on TAR RNA targeted by the anti-TAR PNA.

of the individual PNA<sub>TAR</sub> or scrambled PNA calculated from the respective ratios of the firefly and Renilla luciferase activities.

As seen from the Figure, the individual anti-TAR PNA significantly inhibited the Tatmediated transactivation of the HIV-1 LTR. The extent of inhibition varied with the individual PNA<sub>TAR</sub> as well as its concentration. Of the four anti-TAR PNAs, PNA<sub>TAR-16</sub> was most effective in inhibiting Tat-mediated transactivation. Co-transfection of as low as 1 µg PNA<sub>TAR-16</sub> resulted in 75% inhibition of Tat- mediated transactivation, which subsequently increased to 88% and 97% inhibition at 2.5 and 5.0 µg, respectively. The percent inhibition with PNATAR-15 at these concentrations ranged from 40 to 87%. The extent of inhibition in case of PNA<sub>TAR-13</sub> and PNA<sub>TAR-12</sub>, was significantly lower with a maximum of 40-50% inhibition seen at the highest concentrations tested. Furthermore, we noted that transfection of anti-TAR PNA at the indicated concentrations had no adverse effect on cell viability (data not

shown), thus indicating that these molecules may not be toxic to the cells.

## 3.4. Inhibition of HIV-1 production in CEM cells by anti-TAR PNA

Since a concentration-dependent gradient of inhibition of Tat-mediated transactivation of the HIV-1 LTR was noted with the anti-TAR PNA of varying lengths, we investigated their efficacy in HIV-1-infected cell cultures. We therefore transfected the pseudotyped HIV-1 virion-infected lymphocyte CEM CD4<sup>+</sup> cells, with varying concentrations of the individual anti-TAR PNA, scrambled PNA or mismatched anti-TAR PNA. The effect of anti-TAR PNA on HIV-1 production in CEM cells was monitored by analyzing the expression of the firefly luciferase reporter cloned in place of nef in the HIV-1JR-CSFenv(-) cassette (Planelles et al., 1995). The firefly luciferase activity was normalized to the total protein in the cell extract. Luciferase expression obtained in

the absence of PNA in the mock-transfected HIV-1 infected CEM controls was taken to be hundred percent and that obtained in the presence of PNA was calculated relative to this value. These results are presented in Fig. 6, as the percent luciferase activity obtained relative to the control at indicated concentrations of the anti-TAR PNA, mismatched TAR PNA or scrambled PNA.

A substantial inhibition of virus production was seen with all four anti-TAR PNAs. The extent of inhibition was concentration-dependent and varied depending on the length of the individual PNA<sub>TAR</sub>. Of the four anti-TAR PNAs, PNA-

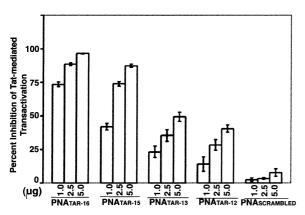


Fig. 5. Effect of anti-TAR PNA on Tat-mediated transactivation in CEM cells. CEM cells  $(5.0 \times 10^6 \text{ cells in } 250 \text{ µl})$  were transfected with the reporter plasmids pHIV-1 LTR-Luc (2 µg) and pCMV-R.Luc (0.6 µg) in the absence or presence of Tat expression vector, pCMV-Tat (1.0 μg). The individual PNA<sub>TAR</sub> or scrambled PNA (PNA<sub>SCB</sub>) were cotransfected at 1.0, 2.5 and 5.0 µg concentrations. The transfected cells were harvested 18 h post-transfection and analyzed for the individual luciferase activities. Expression of Renilla luciferase driven by the CMV promoter did not significantly change either in the absence or presence of the Tat expression clone or in the presence or absence of anti-TAR PNA, and was, therefore, used as a control to normalize for transfection efficiency. Expression of the firefly luciferase driven by the HIV-1 LTR correlated with the concentrations as well as the lengths of the individual PNA<sub>TAR</sub>. These results are presented as the percent inhibition of Tat-mediated transactivation of the HIV-1 LTR at the indicated concentrations of the individual PNATAR or scrambled PNA calculated from their respective ratios of the firefly and Renilla luciferase activities. The results are expressed as mean values along with standard deviations of three independent experiments.

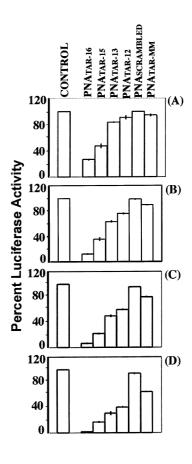


Fig. 6. Effect of anti-TAR PNA on HIV-1 production in CEM cells. CEM cells were transiently infected with the pseudotyped HIV-1 virus expressing the firefly luciferase reporter and then transfected with indicated amounts of the individual anti-TAR PNA (PNA<sub>TAR</sub>), mismatched anti-TAR PNA (PNA<sub>TAR-MM</sub>), or nonspecific scrambled PNA (PNA<sub>SCB</sub>). The effect of anti-TAR PNA on HIV-1 production was monitored by analyzing the expression of the firefly luciferase in the cell extracts at 48 h post-transfection. Luciferase activity was monitored in a 96well fluorotrac plate using a Packard Top Count Luminescence Counter. The firefly luciferase activity was normalized to the total protein in the cell extract. Luciferase expression obtained in the absence of PNA in the mock-transfected HIV-1-infected CEM controls was taken to be hundred percent and the extent of luciferase expression in the presence of the PNA was calculated relative to this value. These results are presented as the percent luciferase activity obtained relative to the control at the indicated concentrations of individual PNAs. Panels A, B, C, D represent transfections carried out at 1.0, 2.5, 5.0 and 10.0 μg of the individual PNAs. The values shown are the average of three sets of experiments. The bars represent the standard deviation.

TAR-16 complementing the entire loop and bulge region of the TAR as well as a single nucleotide in the stem, was most effective in suppressing virus production exhibiting a dramatic abolishment at the highest concentration (10 µg). In contrast, PNA<sub>TAR-15</sub> having the same sequence as PNA<sub>TAR-</sub> <sub>16</sub> except for a single nucleotide complementing the stem, was significantly less effective than PNA<sub>TAR</sub>-16 in suppressing virus production, exhibiting an inhibition ranging from 50 to 85%. Further decrease in the length of the anti-TAR PNA such that they are short of few sequences in the loop (PNA<sub>TAR-13</sub>) or in both the loop and bulge (PNA<sub>TAR-12</sub>) resulted in a further decrease in their efficacy to inhibit HIV-1 production. Thus, PNA-TAR-13 and PNATAR-12 exhibited inhibition ranging from 10-70%. Scrambled PNA exhibited no inhibition of virus production at similar concentrations, indicating the specificity of anti-TAR PNA. A mismatched 16-mer anti-TAR PNA (PNA<sub>TAR-MM</sub>) having three nucleotide mismatches in the bulge region of the TAR, exhibited substantially reduced antiviral efficacy (6-40% inhibition of virus production). These results clearly demonstrate the importance of targeting the bulge region of the TAR.

#### 4. Discussion

Currently, clinical treatment of AIDS patients highly active antiretroviral therapy (HAART) involving a combination of drugs targeting the two key HIV-1 enzymes, namely the reverse transcriptase and protease, has been successful in reducing the viral load (Aalen et al., 1999; Centers for Disease Control and Prevention, 1998). However, the two major impediments to successful HIV-1 therapy are the rapid emergence of drug-resistant viral strains (Condra et al., 1995; Ho et al., 1994; Husson et al., 1993; Kavlick et al., 1998; Kojima et al., 1995; Larder et al., 1989; Markowitz et al., 1995; Partaledis et al., 1995; Otto et al., 1993; Patick et al., 1995; Shirasaka et al., 1995) and the persistence of integrated provirus in host cells (Chun et al., 1998; Selby et al., 1989; Wong et al., 1997). In recent years, it has become apparent that identification of novel non-mutable viral targets and the selection of potent geneintervening reagents are clearly needed to empower anti-HIV-1 therapeutic strategies.

In the present study, we demonstrate the potential of PNA complementary to the HIV-1 TAR apical-stem loop and bulge to inhibit virus replication in cell culture. We identified the TAR RNA sequences that may be critical for Tat-mediated transactivation of the HIV-1 LTR (Fig. 6). The significance of Tat-TAR interaction in regulating gene expression is well documented (Jeang et al., 1999; Karn, 1999). Activation of transcriptional elongation occurs following the recruitment of Tat to the transcription machinery via a specific interaction with an RNA regulatory element called TAR, a 59-residue RNA leader sequence in the long terminal repeat (LTR) (Karn, 1999). The main advantage of targeting the TAR element is that it is conserved and folds into a stable stemloop structure. Any mutational changes in TAR that destabilize this structure also abolish Tat-TAR interaction.

We have earlier used PNA to target two critical sites on the 5' (U5) nontranslated region of the HIV-1 genome. Our investigations using PNA targeted to the PBS region of the HIV-1 genome demonstrated the effective inhibition of the initial priming process by tRNA<sub>3</sub><sup>Lys</sup> of HIV-1 cDNA synthesis (Lee et al., 1998). In another study, we demonstrated that a 15-mer PNA targeted to the stem-loop region of HIV-1 TAR binds to TAR effectively and prevents Tat-TAR interaction, thereby blocking Tat-mediated transactivation in cell culture (Mayhood et al., 2000). As an extension of this study, we designed four anti-TAR PNAs of varying length, ranging from 12 to 16mer (PNA<sub>TAR-12</sub>, PNA<sub>TAR-13</sub>, PNA<sub>TAR-15</sub> and PNA<sub>TAR-16</sub>), and examined their ability to sequester the TAR element and prevent Tat-mediated transactivation as well as their efficacy in inhibiting viral replication. The sequence of these anti-TAR PNAs and the region of TAR RNA that they target are shown in Fig. 1. The rationale for designing these anti-TAR PNAs was to examine whether partial or complete blockage of the loop and bulge region of TAR by varying their length was sufficient to block Tat-mediated transactivation. In vitro, Tat binds to bulge region of TAR RNA but does not recognize sequences in the loop of the TAR hairpin that are essential for transactivation (Roy et al., 1990). The interaction of Tat with TAR region requires cellular factors such as cyclin T/ CDK9 that bind to the terminal loop region of TAR (Wei et al., 1998). Tat is involved in recruiting cellular kinases that phosphorylate Cterminal domain of RNA pol II, resulting in a more processive RNA Pol II complex (Bieniasz et al., 1999; Chen et al., 1999; Fujinaga et al., 1998; Isel and Karn, 1999; Ivanov et al., 1999; O'Keeffe et al., 2000; Ramanathan et al., 1999; Romano et al., 1999; Napolitano et al., 1999; Wei et al., 1998). These reports underscore the importance of the bulge and loop region of TAR in viral gene regulation.

All the four anti-TAR PNAs used in this study were able to bind to TAR, although subtle differences in their ability to gel-shift TAR RNA were noted at lower molar ratio of PNA<sub>TAR</sub> to TAR RNA (Fig. 2, panels A, B, C, and D). This difference in their binding ability correlated with their lengths (Fig. 2, panels A, B, C, and D; lanes 2 and 3). The binding specificity of the anti-TAR PNAs is supported by our observation that scrambled PNA did not influence the mobility of TAR RNA (Fig. 2, panel 5).

Earlier we have shown that PNA-bound viral RNA blocks both reverse transcription and RNase H cleavage (Lee et al., 1998). Our findings with the four anti-TAR PNA used in this study, exhibiting pronounced blockage of reverse transcription on TAR RNA are consistent with this observation (Fig. 3). It may be pointed out, however, that the extent of blockage varied with the temperature of incubation of the anti-TAR PNA as well as the PNA<sub>TAR</sub> itself (Figs. 3 and 4). While PNA<sub>TAR-16</sub> and PNA<sub>TAR-15</sub> exhibited near complete blockage of reverse transcription, some extension of the product beyond the targeted site was noted in the case of PNA<sub>TAR-13</sub> and PNA<sub>TAR-12</sub> (Fig. 3). Our observation that inhibition of reverse transcription on TAR RNA was markedly reduced when PNA<sub>TAR-12</sub> was incubated at ambient temperature with the pre-annealed template-primer suggests that the TAR stem-loop structure may be more stable at ambient temperature and smaller PNA- TAR are relatively less efficient in sequestering this region.

Transcription activation by Tat occurs through TAR and requires the proper folding of the TAR RNA hairpin structure (Cullen, 1993; Jones and Peterlin, 1994). It has been demonstrated that both an intact loop sequence and an intact Tat-binding site are critical structural motifs of the TAR element, and there is no complementation in cis between TAR element carrying mutations in loop or in the Tat-binding site (Churcher et al., 1995). A potential barrier in this interaction would result in down regulation of transcription. This contention is supported by our findings in CEM cells cotransfected with the pHIV-1 LTR-Luc and pCMV-Tat reporter gene constructs in the presence and absence of the four different anti-TAR PNA and nonspecific scrambled PNA (Fig. 5). A substantial increase in the luciferase activity upon co-transfection with pCMV-Tat indicated a significant stimulation of the basal level of transcription of the HIV-1 LTR. All the four anti-TAR PNA were able to sequester the targeted site on the reporter gene construct in cell culture. However, it may be noted that decrease in the length of the anti-TAR PNA by shifting the target by a few nucleotides upstream or downstream resulted in a significant decrease in Tat-mediated transactivation. These results are not surprising since the TAR domain is probably minimally required for Tat response either directly or via its interaction with other transcription factors including the Tat binding pyrimidine bulge, the TAR RNA upper stem, and the loop sequences (Harrich et al., 1994.). Characterization of the RNA protein contact sites using modification interference experiments have mapped the TAR RNA contact site to the trinucleotide bulge region and adjacent base pairs (Weeks et al., 1990). An NMR model of the TAR-argininamide complex, which mimics the RNA-peptide interaction, suggests that the critical arginine residue of Tat hydrogen bonds to G26 and contacts two important phosphates (Puglisi et al., 1992). Furthermore, our data clearly demonstrate that PNA<sub>TAR-16</sub> can inhibit HIV-1 replication in cell culture (Fig. 6). This is not surprising since disruption of the Tat-TAR interaction by this PNA is expected to inhibit virus production.

A challenging aspect in the development of antisense strategy is the selection of appropriate targets. In the case of various clades of HIV-1, targeting the numerous regulatory genes on its RNA genome has not always proved efficient (Agrawal et al., 1989; Kim et al., 1995; Kinchington et al., 1992; Lisziewicz et al., 1995, Matsukura et al., 1989). A promising aspect of the present study is that the TAR gene sequence appeared to be accessible to the PNA oligomer. This sequence, therefore, can be considered a vulnerable region of the HIV-1 genome that can be exploited to develop a specific antiviral intervention. Independent studies from a number of labs pertaining to growth characteristics of viral mutants with alterations in the TAR stem, the pyrimidine bulge or the loop sequence have shown deleterious effect on viral gene expression and replication (Harrich et al., 1994; Rounseville et al., 1996, Das et al., 1998).

Development of novel therapies with an appropriate delivery system targeting several stages of the viral life cycle and not vulnerable to the genetic flexibility of the virus is critical towards a successful drug intervention. The TAR motif seems to be an attractive candidate for anti-retroviral therapy given that it is independent of the genetic flexibility of the virus and can be attributed with a pleiotropy of functions. In addition, other critical domains in the 5' non-translated region of the HIV-1 genome comprising of the primer-binding site, the A-loop region located upstream of the PBS, and the bulge region located down stream of the PBS, also warrant investigation as targets for drug intervention. The current use of PNAs by a number of laboratories holds tremendous promise as a successful antisense strategy. Recently, it has been shown that PNAs complementary to the template region of the RNA domain of human telomerase inhibit cellular telomerase and cause telomeres to be shortened in cells (Herbert et al., 1999; Shammas et al., 1999). Specifically designed PNAs have been successfully used for in vivo inhibition of delta-opoid receptor gene function (Fraser et al., 2000). It may however be pointed out that the therapeutic potential of the present unmodified form of PNA is vastly limited due to its inefficient delivery across cell membranes. In this context, the recent advances made for the delivery of exogen-

ous proteins into living cells with the help of membrane-permeable carrier peptides such as HIV-1 Tat-(48–60), Antennapedia-(43–58), the arginine-rich peptides and transportan among others holds tremendous promise (Derossi et al., 1996; Fawell et al., 1994; Futaki et al., 2001). Using this strategy, Good et al. (2001) have recently shown that a 10-mer peptide-PNA conjugate targeted against ribosomal RNA and against messenger RNA of Acp protein of bacteria can effectively cure the HeLa cells infected with E. coli K12 strains, thereby raising the possibility for anti-infective drug development (Good et al., 2001). Studies in our laboratory are underway to analyze the effect of PNA-transportan conjugates targeted against the critical regions of the HIV-1 genome in the quest for novel inhibitors of HIV-1.

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